# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(43) International Publication Date: 29 November 1990 (29.1 (21) International Application Number: PCT/US89/02172 (81) Designated States: AT (European patent), AU, BB (European patent), BF (OAPI patent), BG, BJ (Copatent), BR, CF (OAPI patent), CG (OAPI patent), BR, CF (OAPI patent), CG (OAPI patent), BR, CF (OAPI patent), DE (European patent), CM (OAPI patent), DE (European patent), CM (OAPI patent), DE (European patent), CM (OAPI patent),	(51) International Patent Classification 4:	A1	(11) International Publication Number: WO 90/1409
(European patent), BG, BJ (C patent), BG, GOAPI patent), BG, BJ (C patent), BR, CF (OAPI patent), BG, BJ (C patent), BR, CF (OAPI patent), BG, BJ (C patent), BR, CF (OAPI patent), CM (OAPI patent), BR, GF (OAPI patent),			(43) International Publication Date: 29 November 1990 (29.11.9
The present invention relates to the induction of proteins and the activation of enzymes in the cells of living organisms, luding human beings. According to the invention, long nucleic and complexes, such as the polyriboinosinate and polycytidy omplexes, are modified to yield short dsRNA of defined sequence. Said short dsRNAs of defined sequence retain the ability	<ul> <li>(72) International Filing Date: 19 May 19</li> <li>(71) Applicant: HEM RESEARCH, INC. [US/US kins Avenue, Rockville, MD 20852 (US).</li> <li>(72) Inventors: GILLESPIE, David, H.; RD 3, Epleflower Road, Glenmoore, PA 19341 (US William, A.; 1 Jaine Lane, Birchrunville (US).</li> <li>(74) Agent: CRAWFORD, Arthur, R.; Nixon &amp; Fourteenth Floor, 2200 Clarendon Blvd., A</li> </ul>	989 (19.05. G); 12280 V Box 184, N B). CARTE c, PA 194	(European patent), BF (OAPI patent), BG, BJ (OAPI) patent), BR, CF (OAPI patent), CG (OAPI patent), CG (European patent), CM (OAPI patent), DE (European patent)*, DK, FI, FR (European patent), GA (OAPI patent), GB (European patent), HU, IT (European patent) JP, KP, KR, LK, LU (European patent), MG, ML (OAPI patent), MR (OAPI patent), MW, NL (European patent), NO, RO, SD, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent).  Published  With International search report.
The present invention relates to the induction of proteins and the activation of enzymes in the cells of living organisms, luding human beings. According to the invention, long nucleic and complexes, such as the polyriboinosinate and polycytidy omplexes, are modified to yield short dsRNA of defined sequence. Said short dsRNAs of defined sequence retain the ability	54) This: SHORT THERAPEUTIC darna OF	DEFINE	STRUCTURE
The present invention relates to the induction of proteins and the activation of enzymes in the cells of living organisms, liuding human beings. According to the invention, long nucleic and complexes, such as the polyriboinosinate and polycytidy complexes, are modified to yield short dsRNA of defined sequence. Said short dsRNAs of defined sequence retain the ability	•		
	The present invention relates to the induction cluding human beings. According to the invention, complexes, are modified to yield short dsRNA of complexes.	long nucl defined se	ic and complexes, such as the polyriboinosinate and polycytidylate uence. Said short daRNAs of defined sequence retain the ability to
			•

\* See back of page

07/26/2003, EAST Version: 1.04.0000

#### **DESIGNATIONS OF "DE"**

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	23	Spain	MC	Monaco
AU	Australia	PI	Fished	MG	Madagascar
88	Barbedos	FR	Franco	ML	Mali
88	Belgium	GA	Gabon	MR	Mauritania
87	Burkina Fasso	CB	United Kingdom	MW	Malawi
BC	Bulgaria	CR	Олоков	NL	Notherlands
RJ.	Beain	KŲ	Hungary	NO	Norway
BR	Beauti	11	Italy	RO	Romania
CA	Canada	JP	Japan	50	Sudan
CF	Control African Republic	KP	Democratic People's Republic	SE	Sweden
00	Coago		of Korca	SN	Sonegal
СН	Switzerland -	KR	Republic of Korea	SU	Soviet Union
CM	Cameroon	L	Licchtenstein	TD	Chad
DB	Germany, Federal Republic of	LK	Sri Lanks .	TC	Togo
DK	Dunmark	LU	Luxembourg	US	United States of America

PCT/US89/02172

1

#### SHORT THERAPEUTIC darna OF DEFINED STRUCTURE

#### BACKGROUND AND SUMMARY

The invention generally relates to therapeutic compositions of matter, methods for producing said compositions and methods for administering said compositions to living organisms, including human beings.

Certain long, double-stranded RNA (dsRNA), especially poly(I):poly(C) and poly(I):poly (C12,U) 10 (Ampligent) are anticancer and antiAIDS agents (1). These dsRNAs induce interferon and activate a variety of cellular enzymes (2). These dsRNAs are enzymatically synthesized as high molecular weight 15 nucleic acid polymers (m>300), using ribonucleoside diphosphates as substrate and polynucleotide phosphorylase (PNPase) as enzyme. Ampligen was created because its parent compound, poly(I):poly(C), was toxic (3). In the 1960's, Drs. Carter and Ts'o reasoned that a metabolically unstable, long dsRNA 20 derivative might be cleared quickly from blood and therefore exhibit minimal toxicity, (4). They created Ampligen® as a long dsRNA molecule with RNase-sensitive mismatches an this molecule retained 25 biologic potence while proving to be non-toxic (1). To indicate the size of poly(I):poly(C) or poly(I):poly (C12,U) in general use, the inventors point out that the specifications for Ampligen in clinical trials in 198701988 include a requirement of an S20, w of 10-15, corresponding to a molecular 30

WO 90/14090 PCT/US89/02172

2

weight in excess of 1,000,000 and a number of base pairs in excess of 1500.

5

10

15

20

25

30

A new line of thinking has lead the present inventors to a new and different mechanism account for biological activity and lack of toxicity simultaneously characterizing dsRNA, allowing the inventors to create a new class of dsRNA molecule with therapeutic benefit. In contrast to the teachings of the prior art, the inventors have concluded that lack of toxicity of Ampligen derives from the helical interruptions (i.e., shortness of helical stretches) introduced by uracil residues and not from RNase sensitivity. Thus, the essence of this invention is as follows: First, certain short daRNAs will be nontoxic and biologically active, regardless of whether they contain RNAse-sensitive mismatches. Such short dsRNAs having the proper nucleotide sequence will be therapeutic by virtue of their biological activity. It should be emphasized that the prior art teaches away from the present invention by teaching the need for long, biodegradable dsRNA in order to preserve biological activity without toxicity.

Short dsRNA of defined sequence cannot be synthesized by the PNPase method. First, PNPase cannot synthesize a nucleic acid of defined sequence because it is a terminal transferase and not a template-copying enzyme. Second, the helical content of dsRNAs of the homopolymer:homopolymer type constantly changes due to the "slippage" reaction. Slippage means that the two strands of the dsRNA

10

15

25

molecule mover relative to each other since there is no complementary nucleotide register to fix the position of two strands relative to each other. The present invention produces means for synthesizing stable short dsRNA of defined sequence.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an illustration of two types of short dsRNA of defined sequence. In FIG. 1A is shown a dsRNA with terminal "locks" while in FIG. 1B is shown a dsRNA with a central "hinge". The dsRNA in FIG. 1 also contain "internal registers", which are indicated by A-U base pairs.

FIG. 2 is an illustration of a method for preparing a short dsRNA of defined sequence having terminal locks and internal registers.

FIG. 3 is an illustration f a method for preparing short dsRNA of defined sequence having a central or near-central hinge.

FIG. 4 is an illustration of a method for preparing short dsRNA of defined sequence having both terminal locks and a central or near-central hinge.

#### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

1) Types of dsRNA. The present compositions of matter and methods relative to their use generally depend on the several embodiments thereof on the chemical modifications of protein-inducing and/or

4

enzyme-activating dsRNA complex to render said complex less toxic to a living animal cell. chemically modified complexes disclosed herein retain the biological activity of unmodified complexes while being less toxic by mechanisms which are presently mysterious. The dsRNA complexes which are of concern in the present invention may be modified by shortening said dsRNA while at the same time fixing the two strands in space relative to one another. Figure 1 depicts two types of dsRNA envisioned in 10 this application. Type A dsRNA is termed "locked dsRNA". It contains complementary regions at each end ("locks") to fix the dsRNA register. Variants of this model may contain subterminal, rather than or in addition to, terminal locks or may contain only one 15 lock. Locks may be as short as a single nucleotide. In addition, "internal registers" like the A-U base pairs of Figures 1 and 2 may be added for increased stability. Type B dsRNA is termed "hinged" dsRNA. Hinged dsRNA contains an internal self-complementary 20 stretch which folds in a restricted way to align the remaining dsRNA nucleotides (see also Figures 3 and 4 for example of hinged dsRNA). For the purposes of this application "locks", "hinges" and "internal registers" are complementary nucleotide pairs 25 different from homopolymer stretches in the dsRNA. Said locks, hinges and internal registers are referred to as heteropolymer regions in this application. At one extreme is a homopolymer with a single heteropolymer nucleotide pair to fix the dsRNA 30 register while at the other extreme is a totally heteropolymeric short dsRNA. Both locked and hinged dsRNAs may contain single stranded regions terminally

10

15

20

or internally (Figure 2).

2) Synthesis of Short dsRNAs of Defined Sequence. Locked RNA molecules of defined length and defined sequence can be synthesized from plasmid DNA vectors having promoters of defined sequence placed near the sequence of interest. The vectors, enzymes and substrates are available from a variety of commercial sources. For example the locked dsRNA depicted as structure [7] of Figure 2 can be made as follows. The two deoxyoligonucleotides depicted at the top of Figure 2 (structure [1]) can be synthesized by an oligonucleotide synthesizer. Annealing them as shown (structure [2]) leaves single-stranded ends which can be cloned into the commercially available vector, pGEM 4, after cleavage of the vector with EcoRl and Hind III, yielding structure [3]. Transcription of this plasmid as described in Figure 2 yields separately two single stranded RNAs (structures [4] and [5]) which can be annealed (e.g., at 65° in 1M NaPO4, pH7) to produce the dsRNA shown in structure [6] in Figure 2. This locked dsRNA can be used as is or can be trimmed with RNAse to produce the dsRNA shown in structure [7], Figure 2.

25 Hinged RNA molecules of defined length and defined sequence can be synthesized from plasmid DNA vectors having promoters of defined sequence placed near the sequence of interest. For example, the hinged dsRNA depicted as structure [8] of Figure 3 can be made as follows: The two deoxyoligunucleotides depicted at the top of Figure 3

WO 90/14090 PCT/US89/02172

6

(structures[1]) can be synthesized by an oligunucleotide synthesizer, annealed to produce structure [2] and cloned into pGEM4 previously cut with Eco R1 and Sma 1 yielding structure [3]. Transcription of this plasmid as described in Figure 3 yields an RNA (structure [4] which can be self-annealed to produce the dsRNA shown as structure [5]. This hinged dsRNA can be used as is or can be trimmed with RNase to produce the dsRNA shown as structure ([6]), Figure 3. dsRNA molecules of defined length and sequence with both locks and hinges can be synthesized by a slight modification of this above procedure, using Hind II instead of Sma 1 and using slightly different deoxyoligonucleotides, as depicted in Figure 4.

10

15

20

25

30

It will be obvious to those with ordinary skill in the art that other vectors and other restriction endonuclease sites can be used with similar results. It will also be obvious that other degrees of repetition than 3 of  $[(I_{10})A]/[(C_{10})U]$  can be employed and that other polypurine/polypyrimidine tracts can be used, such as  $(I_n)/(C_n)$ ,  $(I)_n/[(C);U]_n$ ,  $(A)_m/(U)_m$ , etc., so long as helical regions are kept short enough to avoid toxicity and long enough to retain biological activity.

It will also be obvious to those with ordinary skill in the art that oligonucleotides conisting of RNA polymerase promoters flanking inserts specifying the present invention can be synthesized, annealed and transcribed directly, without cloning into a vector. It will also be obvious to those with

10

15

20

25

30

ordinary skill in the art that short dsRNA can be chemically synthesized. The essence of this invention lies in the structure and properties of the dsRNAs themselves; this example is given to enable one with ordinary skill in the art to prepare short therapeutic dsRNAs of defined sequence.

3) Determining Biological Activity of Short dsRNA of Defined Sequence. The biological activity of dsRNA can be assessed in several experimental systems which are routine in the art. The antiviral properties of dsRNA can be measured by challenging dsRNA-treated cells with vesicular stomatitis virus (VSV) and measuring reduction in virus yield as described by (5). Similar procedures have been reported which measure the inhibition of VSV and other viruses. The antitumor properties of dsRNA can be evaluated by exposing tumor cells in tissue culture to dsRNA and measuring reduction in growth rate as described by (6). The antitumor properties of dsRNA can also be measured by injecting dsRNA into nude mice bearing tumors and measuring tumor growth rate (7). The ability of dsRNA to enhance natural killer cell or macrophage killing activity can be determined as detailed (8). All of these procedures are routine in the art and are cited by way of enabling one with ordinary skill in the art to measure the biological activity of dsRNA synthesized as described in the preceding section. The citing of these procedures should not be construed as limiting; other procedures for measuring the biological activity of dsRNA exist and are also well known in the art.

PCT/US89/02172

5

10

8

4) Determining the Absence of Toxicity of dsRNA of Defined Sequence. The toxicity or lack of it of dsRNA can be determined by procedures which have long been routine for testing a variety of potential therapeutics and which have long been routine for testing a variety of potential therapeutics and which have been applied to dsRNA as well. Suitable test animals such as mice, rats, rabbits, dogs, monkeys, etc. or humans can be injected periodically with various quantities of dsRNA and after a suitable interval, such animals can be examined for evidence of fever, loss of weight, loss of liver function, thrombocytopenia, leukopenia, bone marrow suppression, etc. The examiner is directed particularly to Citations (9) for examples where such 15 studies have been done regarding dsRNA.

#### WHAT IS CLAIMED IS:

- Short dsRNA of defined structure, said dsRNA
- 2 having biological activity and lacking significant
- 3 toxicity.
- The dsRNA of Claim 1 stabilized by "lock", 1
- 2 "hinge" and/or "internal register" regions of
- 3 complementary heteropolymer.
- 1 The dsRNA of Claim 2 having the general
- 2 structure:
- 3
- $5'lock-(I)_n-lock 3'$   $3'lock-(C)_m-lock 5'$ 4
- where said "m" and said "n" are less than 100 5
- and more than 5 and where said locks in one strand 6
- are complementary to locks in the opposite strand and
- where said "I" and said "C" are inosine monophosphate
- and cytidine monophosphate, respectively. 9
- The dsRNA of Claim 2 having the general 1
- structure  $5'(I)_n$ -hinge- $(C)_m3'$ , where said "m" and 2
- said "n" are less than 100 and more than 5 and where 3
- said hinge is a heteropolymeric region exhibiting
- self-complementarity and where said "I" and said "C" 5
- are inosine monophosphate and cytidine monophosphate
- 7 respectively.
- The dsRNAs of any one of Claims 1-5 having the 1
- general structure 2

3	$5^{1}$ lock- $[(I)_{n}A]_{1}$ -lock	3 '
4	3'lock-[(C)_U],-lock	31

- 5 where said "m" and said "n" are less than 25 and more
- than 5, where said "j" and said "k" are less than 10
- 7 and more than 0, where said "I" and said "C" are
- g inosine monophosphate and cytidine monophosphate,
- g respectively, where said "A" is a nucleotide which is
- not I and where "U" is a nucleotide which base pairs
- 11 with said A.
- 7. The dsRNA of Claim 1 with substitutions in one
- 2 strand, said substitutions being not complementary to
- 3 nucleotides in the opposite strand.
- 1 8. The dsRNA of Claim 7, said dsRNAs having
- 2 single-stranded tails.
- 9. A method of therapeutically activating
- 2 dsRNA-dependent enzymes or inducing interferon in a
- human in need of such therapy, which method comprises
- 4 administering to the human a therapeutically
- 5 effective amount of the short dsRNA of defined
- structure according to claim 1.
- 1 10. A method of therapeutically activating
- 2 dsRNA-dependent enzymes or inducing interferon in a
- 3 human in need of such therapy, which method comprises
- 4 administering to the human a therapeutically
- 5 effective amount of the short dsRNA of defined
- 6 structure according to claim 2.
- 1 11. A method of therapeutically activating

2

4

5

- dsRNA dependent enzymes or inducing interferon in a
  human in need of such therapy, which method comprises
  administering to the human a therapeutically
  effective amount of the short dsRNA of defined
  structure according to claim 3.
- 1 12. A method of therapeutically activating
  2 dsRNA dependent enzymes or inducing interferon in a
  3 human in need of such therapy, which method comprises
  4 administering to the human a therapeutically
  5 effective amount of the short dsRNA of defined
  6 structure according to claim 4.
- 1 13. A method of therapeutically activating
  2 dsRNA dependent enzymes or inducing interferon in a
  3 human in need of such therapy, which method comprises
  4 administering to the human a therapeutically
  5 effective amount of the short dsRNA of defined
  6 structure according to claim 5.
- 1 14. A method of therapeutically activating
  2 dsRNA dependent enzymes or inducing interferon in a
  3 human in need of such therapy, which method comprises
  4 administering to the human a therapeutically
  5 effective amount of the short dsRNA of defined
  6 structure according to claim 6.
  - 15. A method of therapeutically activating dsRNA dependent enzymes or inducing interferon in a human in need of such therapy, which method comprises administering to the human a therapeutically effective amount of the short dsRNA of defined structure according to claim 7.

PCT/US89/02172

12

1	16. A method of therapeutically activating	
2	dsRNA dependent enzymes or inducing interferon in a	•
3	human in need of such therapy, which method comprises	
4	administering to the human a therapeutically	1
5	effective amount of the short dsRNA of defined	
6	structure according to claim 8.	

# FIG.

S S 

or 5'lock-  $[(1)_{10}A]_{3}$ -lock 3' 3'lock-  $[(C)_{10}U]_{3}$ -lock 5'

1 -lock 5'lock-(N)<sub>n</sub>-lock 3'lock-(N')<sub>m</sub>-lock generally or,

# FIG.

hinge-cccccccccccccccccccccc hinge-IIIIIIIIIIIIIIIIIIIII

or hinge- $(I)_{10}U(I)_{10}^3$ hinge- $(C)_{10}A(C)_{10}^5$ 

hinge-(N' hinge-( or, generally

2/4

### FIG. 2

make deoxyoligonucleotides 5'AGCTTACCC [(G) $_{10}$ A] $_{3}$ G and ATGGG [(C) $_{10}$ T] $_{3}$ CTTAA5'

anneal oligonucleotides
5'ACCTTACCC [(G)<sub>10</sub>A]<sub>3</sub>G
ATGGG [(C)<sub>10</sub>T]<sub>3</sub>CTTAA5'

clone annealed oligos into pGEM4 cut with EcoR1 and Hind III

SP6 GAATACAAGCTTACCC  $[(G)_{10}A]_3$  GAATTCCCGGTCTCCC T7 PROMOTORCTTATGTTCGAATGGG  $[(C)_{10}U]_3$  CTTAAGGGCCAGAGGG PROMOTOR

cut with Hind III ( , ) and transcribe with T7 polymerase plus NTP\*

UCIAA ((C)<sub>10</sub>U)<sub>3</sub>CUUAAIIICCAIAIII5' (+) RNA

cut with EcoR1 ( \*, \*) and transcribe with SP6 polymerase plus NTP\*

IAAUACAAICUU[(1)<sub>10</sub>A]1AAUU3' (-) RNA

anneal (+) and (-) RNA

UCIAAUIII[(C)<sub>10</sub>U]<sub>3</sub>CUUAAIIICCAIAIII 5'

RNase T2

UCIAAUIII [(C)<sub>10</sub>U]<sub>3</sub>CUUAA A1CUUACCC [(1)<sub>10</sub>A]<sub>3</sub>1AAUU

NTP\* = ATP, UTP, CTP plus ITP

....

SUBSTITUTE SHEET 07/26/2003, EAST Version: 1.04.0000

3/4

### FIG. 3

make deoxyoligonucleotides  $GGG(G)_{12}AATGATT(C)_{15}G$  and  $CCC(C)_{12}TTACTAA(G)_{15}CTTAAG$ 

anneal oligonucleotides

 $CCC(G)_{12}$ AATGATT(C) $_{15}$ GCCC(C) $_{12}$ TTACTAA(G) $_{15}$ CTTAAG

clone annealed oligos into pGEM4 cut with EcoR1 and Smal

SP6 POLY CCCGGG(G) $_{12}$ AATGATT(C) $_{15}$ GAATTCCGGTCTCCC T7 PRO LINKER GGGCCC(C) $_{12}$ TTACTAA(G) $_{15}$ CTTAAGGCCAGAGGG PRO

cut with Smal ( , ) and transcribe with T7 polymerase plus NTP\*

(C)<sub>15</sub>UUACUAA(I)<sub>15</sub>CUUAAIICCAIAIII5'

self anneal

UAA(1)<sub>15</sub> CUUAAIICAIAIII5' AUU(C)<sub>15</sub>

RNase A

NTP\* = ATP, UTP, CTP plus ITP

#### SUBSTITUTE SHEET

07/26/2003, EAST Version: 1.04.0000

4/4

FIG. 4

make deoxyoligonucleotides  $\mathtt{AGCIT}(G)_{12}\mathtt{AAIGAII}(C)_{12}\mathtt{AAGCIG}$  and  $\mathtt{A}(C)_{12}\mathtt{ITACIAA}(G)_{12}\mathtt{ITICG}$ 

anneal oligonucleotides AGCIT(G)<sub>12</sub>AAIGAIT(C)<sub>12</sub>AAGCTG A(C)<sub>12</sub>IIACIAA(G)<sub>12</sub>ITCG

SP6 GAATACAAGCIT $(G)_{12}$ AAIGAIT $(G)_{12}$ AAGCIGAAITCCGGICICCC I7 PROMOTORCITATGITCGAA $(G)_{12}$ ITACIAA $(G)_{12}$ ITCGACITAAGGCCAGAGGGPROMOTOR clone annealed oligos into pGEM4 cut with EcoR1 and Hind III

cut with Hind III ( , ) and transcribe with T7 polymerase plus NTP\*  $\mathtt{uciaa}(\mathtt{c})_{12}\mathtt{uuacuaa}(\mathtt{i})_{12}\mathtt{uuciacuuaaiiccaiaiiis}$ 

self anneal RNA

UAA(I)<sub>12</sub>UUCIACUUAAIICCAIAIII5' AUU(C)<sub>12</sub>AAICU

RNase A.

UAAIIIIIIIIIIIIUUCIAS' AUUCCCCCCCCCCAAICU

	INTERNATIONAL S	EARCH REPO 7	US89/02172
I. CLASS	IFICATION OF SUBJECT MATTER (I several classific		
IPC(	to International Patent Classification (IPC) or to both Nation 4th Ed.): A61K 31/70; C07H 101.: 536/27; 536/28; 536/29;	al Classification and IPC	
II. FILLES	Minimum Occuments	tion Searched 7	
Classification		assification Symbols	
v.s.	C1. 536/27; 536/28; 536/2	9; 424/85.4-85.7	
	Documentation Searched other the to the Extent that such Occuments or		
	MENTS CONSIDERED TO BE RELEVANT		
Category •	Citation of Document, W with Indication, where soore		Reterant to Claim No. 13
Y	N "Augmenting Agents in Cancer 1981, Raven Press, (New York, Ne Carter et al. "Poly IC with Mismate for Cancer Therapy", see pp. 177-	w York, USA), W. A. ched Bases, Prospects	1-16
Y	N Nature, vol. 297, Issued 03 Jun England), S. L. Lin et al., "Sensitivi	e 1982, (London, ty and resistance of	1-16
Y	human tumour cells to interferon 417-419. N Molecular Pharmacology, vol. 1 York, New York, USA), J. A. O'Mall Acid-Polycytidylic Acid and Its M Differential Effects on Human Cell	5, Issued 1979 (New ey et al., "Polyinosinic lismatched Analogues:	1-16
Y	165-173. N Cancer Research, vol. 46, Issued Maryland, USA), M. S. Chapekar ethe Cytocidal Effect of Human Important Synthetic Double-Strand Refractory Human Colon Carcinom 1698-1702.	d April 1986(Bethesda, t al., "Potentiation of mune Interferon by ted RNAs in the	1-16
"A" 60	let categories of atted decoments: <sup>16</sup> iccurrent defining the general state of the art which is not motived to be of particular relevance wher decoment but published on or after the international ing date iccurrent unick may throw deality or priority change) or high in close to establish the publication date of enother high in close to establish the publication date of enother high in close to establish the publication date of enother high or other special reason (as specified) housest referring to an oral discissors, use, unlikition or her means the planty date closed  TEFICATION	"I" later decument published other or priority date and not in cooling to the principal of the principal investigate. "It" decument of particular relevant context to consistent need or invested on investigate relevant decument of particular relevant to consistent to be excellent to be invested on investigate relevant to consistent to investigate relevant to combined with on recent, augh combined with on recent, augh combined with one context, augh combined with one context.	car; the claimed invertion request be generalized to nec; the channel investion as investing step when the or more when such discu- siveless to a person chilled
4	the Actual Completion of the International Secret.	Cate of Hailing of this interestional S	least) Report
	16 August 1989		1989
	ISA/US	I fric Cran	lone

edon.	MENTS CONSIDERED TO SE RELEVANT (CONTINUED FROM THE SECOND SHEET  Citation of Occurant, with indication, where appropriate, of the individual passages	Release to Claim No
Y	US. A. 4.400,375. DOUHART ET AL., Published 23 August	
Y	US, A. 4,388,506, FIELD ET AL., Published 14 June 1983. see columns 1-6.	1-16
Y	GB, B, 1,411,748, VERE-HODGE, Published 29 October 1975, see pages 1-12.	1-16
Y	SU, B, 425,940, MACROMOLECULAR INSTITUTE ET AL., Published 30 April 1974, see Derwent English language	1-16
Y	abstract 70156W/42. US, A, 4,262,090, COLBY ET AL., Published 14 April 1981, see columns 1-14.	1-16
	·	
<u></u>	Extrapolation (Factor)	

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET
Y N Chemical Abstracts, Vol. 107, Issued 1987(Columbus. 0hio, USA), D. C. Montefiori et al., "Antiviral activity of mismatched double-stranded RNA against human immunodeficiency virus in vitro.", see abstract number 51430a on p. 21.
N Journal of Biological Chemistry, vol. 254, Issued 25 October 1979 (Easton, Pennsylvania, USA), M. A. Minks et al, "Structural requirements of double-stranded RNA for the activation of 2',5'-oligo(A) polymerase and protein kinase of interferon-treated HeLa cells.", see pp. 10180-10183.
V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE *
This international search report has not been established in respect of certain cinims under Article 17(2) (a) for the following reasons:
1. Claim numbers , because they relate to subject matter 12 not required to be sourched by this Authority, samely:
L. Claim numbers , because they relate in parts of the international application that do not camply with the prescribed requirements to such an extent that no magningful international aparch can be carried out 13, specifically:
2. Color custombecause tray are dependent claims not drafted in accordance with the second and trial sentences of PCT Rule GAIst.
VI. GESERVATIONS WHERE UNITY OF INVENTION IS LACKING!
This international Searching Authority found multiple inventions in this international equilibrium as follows:
1. As all required additional eserch feet were threly gold by the applicant, this international coarch report covers all coarchable claims of the interesticant applicants.
2. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only
these claims of the international application for which fees were gold, apostfeetly elaims:  2. He required additional search fees were timely paid by the applicant, Consequently, this international essents report to restricted to the timention first maximand in the claims; it is covered by claim numbers:
4 An all acceptable claims could be accepted without effect jurishing an additional fee, the international Secreting Authority did no inches payment of any additional fee.
Remark on Protect  The additional search foce were assemptation by applicant's protect.
☐ No protect accompanies the payment of additional courts foot.

Form PCTMACTO (magniferential edited (8) (Pers. 1147)

IL DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)			
BOLA .	Citation of Document, with indication, where appropriate, of the relevant passages	Relaters to Claim No	
Y	N Acta Virology, Vol. 14, Issued 1970, I. Rosztoczy et al., "Enhancement of Interferon Synthesis by	1-16	
	Polyinosinic-Polycytidylic Acid in L Cells Pretreated	•	
Y	with Interferon.", see pp. 398-400.  N Chemical Abstracts, Vol. 108, Issued 1988(Columbus, Ohio, USA), H. R. Hubbell et al., "Augmented antitumor effect of combined human natural interferon-alpha and mismatched double-stranded RNA treatment against human malignant melanoma xenograft.", see abstract number 4453w on p 434.	1-16	
Y	N Proceedings of the National Academy of Science USA, Issued 1967 (Washington, D. C. USA), A. K. Field et al., "Inducers of Interferon and Host Resistance, IL. Multistranded Synthetic Polynucleotide Complexes.", see pp. 1004-1010.	1-16	
Y	US, A. 4,313,938, ARIMURA ET AL., Published 02 February 1982, see columns 1-20.	1-16	
Y	US, A. 4,349,538, LEVY, Published 14 September 1982, see columns 1-8.	1-16	
Y	US, A. 4,024,241, LEVY, Published 17 May 1977, see columns 1-6.	1-16	
Y	US, A. 4,018,916, HODGE, Published 19 April 1977, see columns 1-10.	1-16	
Y	US, A. 3,819,482, VIDAVER ET Al., Published 25 June 1974, see columns 1-12.	1-16	
Y	US, A, 4,767,701, HOLMBERG ET AL., Published 30 August 1988, see columns 1-6.	1-16	
Y	US, A. 4,130,641, T'SO ET AL., Published 19 December	1-16	
Y	1978, see columns 1-22. US. A. 4,124,702, LAMPSON ET AL., Published 07	1-16	
Y	November 1978, see columns 1-30. US, A. 4,024,222, TSO ET AL., Published 17 May 1977.	1-16	
Y	see columns 1-20. US, A. 3,679,654, MAES, Published 25 July 1972, see	1-16	
Y	columns 1-6. US, A. 3.444,043, SPIEGELMAN, Published 13 May 196	9. 1-16	
Y	see columns 1-12. US, A, 3,660,564, YONEDA ET AL., Published 02 May 1972, see columns 1-12.	1-16	
İ			

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

# IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.